SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

|] | Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). |
|---|---|
| | may continue. See Instruction 1(b). |

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer BARTOLACCI JOSEPH C MATTHEWS INTERNATIONAL CORP [MATW] 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 5. Relationship of Reporting Person(s) to Issuer TWO NORTHSHORE CENTER 3. Date of Earliest Transaction (Month/Day/Year) 7. Officer (give title Other (specify below) (Street) PITTSBURGH PA 15212 (City) (State) (Zip) (Zip) | | | Table I - Non | -Derivative Securities Acquired, Disposed of, or Benefic | ciallv Ow | /ned | | | | |
|--|--------|---------|---------------|--|-------------|--|---------------|--|--|--|
| BARTOLACCI JOSEPH C MATTHEWS INTERNATIONAL CORP [MATW] (Check all applicable) (Last) (First) (Middle) TWO NORTHSHORE CENTER 3. Date of Earliest Transaction (Month/Day/Year) 0 fficer (give title Other (specify below) (Street) Vertex 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person | (City) | (State) | (Zip) | | | | | | | |
| BARTOLACCI JOSEPH C MATTHEWS INTERNATIONAL CORP [MATW] (Check all applicable) X Director 10% Owner (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) X Officer (give title below) | . , | РА | 15212 | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | Form filed by One Rep | orting Person | | | |
| BARTOLACCI JOSEPH C MATTHEWS INTERNATIONAL CORP [MATW] (Check all applicable) X Director | | | (Middle) | | | below) below) | | | | |
| | | | | MATTHEWS INTERNATIONAL CORP [| (Check X | (Check all applicable) X Director 10% Owner Officer (circe title | | | | |

1. Title of Security (Instr. 3) 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 7. Nature of 2. Transaction 2A. Deemed 5. Amount of 6. Ownership Date Transaction Form: Direct (D) Execution Date. Securities Indirect (Month/Day/Year) if any Code (Instr. Beneficially Owned or Indirect (I) Beneficial Following Reported Transaction(s) (Month/Dav/Year) 8) (Instr. 4) Ownership (Instr. 4) (A) or (Instr. 3 and 4) Code v Amount Price D) Class A Common Stock 11/21/2024 **M**⁽¹⁾ 60,000 \$<mark>0</mark> 551,047 D A

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transaction Code (Instr. 8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|---|--|---|---|--|--------|--|--------------------|--|----------------------------------|---|--|--|--|
| | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | Transaction(s) (Instr. 4) | | |
| Restricted Share Units | \$0 | 11/21/2024 | М | | | 30,000 | (1) | (1) | Class A Common Stock | 60,000(1) | \$ <mark>0</mark> | 0 | D | |

Explanation of Responses:

1. On November 21, 2024, the vesting date, the return on invested capital performance-based restricted share units converted into shares of the Company's Class A common stock at a rate of 200%. Remarks:

The Power of Attorney dated February 27, 2018 was filed on November 14, 2018, in Form 4, and is incorporated herein by reference.

| /s/ Brian D. V | Walters (| Attorney | <u>/-in-</u> | 1/25/2024 |
|----------------|-----------|----------|--------------|-----------|
| Fact) | | | | 1/25/2024 |

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.